



15-2654

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

09/892,664

Filing Date

June 28, 2001

First Named Inventor

Smith et al.

Art Unit

2654

Examiner Name

Armstrong

Attorney Docket Number

20009.0132US01 (BS00-353)

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Return Postcard
PTO SB08 - 3 pages
4 References

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

WITHERS & KEYS, LLC

Signature

Printed name

Jeramie J. Keys

Date

September 27, 2005

Reg. No.

42,724

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Typed or printed name

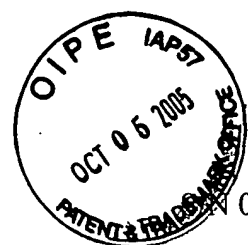
Jeramie J. Keys

Date

September 27, 2005

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



09/892,664

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith et al. Examiner: Armstrong
Serial No.: 09/892,664 Group Art Unit: 2654
Filed: June 28, 2001 Docket No.: 20009.0132US01 (BS00-353)
Title: Voice Input to Systems Interface to Legacy Systems

CERTIFICATE UNDER 37 CFR 1.8:

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By: 

Name: Jeramie J Keys

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed SB08 form are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits after the filing or after the filing a request for continued examination. Accordingly, no fee is due for consideration of the items listed on the enclosed SB08 form.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and non-patent literature listed on the enclosed SB08 form is provided.


No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

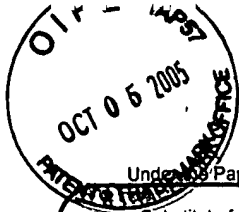
Application Number: 09/892,664
Attorney Docket Number: 20009.0132US01

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached SB08 form, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 503025.

WITHERS & KEYS, LLC
P.O. Box 71355
Marietta, GA 30007-1355
678-565-4748

By 
Name: Jeramie J. Keys
Reg. No.: 42,724
Initials: JJK



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	09/892,664
Filing Date	June 28, 2001
First Named Inventor	Smith et al.
Art Unit	2654
Examiner Name	Armstrong
Attorney Docket Number	20009.0132US01 (BS01-353)

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 6,101,510	08/08/2000	Stone	
	2	US- 6,233,541	05/15/2001	Butts	
	3	US- 6,598,167	07/22/2003	Devine	
	4	US- 6,636,831	10/21/2003	Profit	
	5	US- 6,738,815	05/18/2004	Willis, Jr.	
	6	US- 5754,830	05/19/1998	Butts	
	7	US- 5,873,070	02/16/1999	Bunte	
	8	US- 6,035,285	03/07/2000	Schect	
	9	US- 6,445,774	09/03/2002	Kidder	
	10	US- 6,671,824	12/30/2003	Hyland	
	11	US- 5,953,389	09/14/1999	Pruett	
	12	US- 5,826,270	10/20/1998	Rutkowski	
	13	US- 5,696,906	12/09/1997	Peters	
	14	US- 5,583,937	12/10/1996	Ullrich	
	15	US- 6,539,548	03/25/2003	Hendricks	
	16	US- 6,080,202	06/27/2000	Strickland	
	17	US- 6,473,407	10/29/2002	Ditmer	
	18	US- 6,092,192	07/18/2000	Kanevsky	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	19	EP 0732850	09/18/1996	Gen Instrument Corp.		

Examiner
SignatureDate
Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

3

Complete if Known

Application Number	09/892,664
Filing Date	June 28, 2001
First Named Inventor	Smith et al.
Art Unit	2654
Examiner Name	Armstrong
Attorney Docket Number	20009.0132US01 (BS01-353)

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	20	US- 5,884,262	03/16/1999	Wise	
	21	US- 6,226,623	05/01/2001	Schein	
	22	US- 6,115,737	09/05/2000	Ely	
	23	US- 4,776,016	10/04/1988	Hanson	
	24	US- 6,052,785	04/18/2000	Lin	
	25	US- 6,115,040	09/05/2000	Bladow	
	26	US- 6,736,376	05/18/2004	Delisle	
	27	US- 2002/0138656	09/26/2002	Hickey	
	28	US- 2002/0103906	08/01/2002	Knight	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/892,664
		Filing Date	June 28, 2001
		First Named Inventor	Smith et al.
		Art Unit	2654
		Examiner Name	Armstrong
Sheet 3	of 3	Attorney Docket Number	20009.0132US01 (BS01-353)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	29	no author; FieldCentrix Selects BellSouth's Intelligent Wireless Network to Bring Wireless Data Solution to Field Service Companies, August 24, 1999; BusinessWire; Dialog copy pages 1-2.	
	30	Moran et al.; "Multimodal User Interfaces in the Open Agent Architecture", Proceedings of the 2nd International Conference on Intelligent User Interfaces, August 1996.	
	31	Conversay "Speech Technology Solutions", White Paper C 2001.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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